

Assessing claims about treatments effects: Key concepts that people need to understand

There are endless claims about treatments in the mass media, advertisements and everyday personal communication. Some are true and some are false. Many are unsubstantiated. We do not know whether they are true or false. Unsubstantiated claims about the effects of treatments are often wrong. Consequently, people who believe and act on these claims suffer unnecessarily and waste resources by doing things that do not help and might be harmful, and by not doing things that do help.

We have prepared a list of key concepts that they can use to assess claims about the effects of a [treatment](#) (any action intended to improve health), including whether

- The basis for a claim is [reliable](#); i.e. whether it is based on [fair comparisons](#) of treatments (treatment comparisons designed to minimise the risk of errors)
- The results of fair comparisons are relevant to them and the implications of the results for their decision
- Additional information is needed to assess the reliability and relevance of claims about treatments and, if so, what information is needed

The list serves as a syllabus for identifying the resources needed to help people understand and apply the concepts, and is intended to be universally relevant. Effective treatments can prevent health problems, save lives and improve quality of life. However, nature is a great healer and people often recover from illness without treatment. Likewise, some health problems may get worse despite treatment, or treatment may actually make things worse. For these reasons, knowledge of the natural course of illness should be the starting point for making informed decisions about treatments.

We have written the concepts and explanations in plain language. However, some of these concepts may be unfamiliar and difficult to understand. We did not design the list as a teaching tool. It is a framework, or starting point, for teachers, journalists and other intermediaries for identifying and developing resources (such as longer explanations, examples, games and interactive applications) to help people to understand and apply the concepts.

The list is expected to be a “living” document allowing modification, additions and deletions, and is subject to yearly review. This list is a revised version of the first published list: <http://onlinelibrary.wiley.com/doi/10.1111/jebm.12160/abstract>. Next update is planned to take place September 2017. For any comments or suggestions, please contact us at: astrid.austvoll-dahlgren@fhi.no.

The list includes 34 concepts, divided into 3 groups:

1. Claims: are they justified?
2. Comparisons: are they fair and reliable?
3. Choices: making informed choices

Claims: are they justified?

Not all claims about the effects of treatments are reliable. Well-informed treatment decisions require reliable information.

Concepts	Explanations	Implications
1.1 Treatments may be harmful	People often exaggerate the benefits of treatments and ignore or downplay potential harms. However, few effective treatments are 100% safe.	Always consider the possibility that a treatment may have harmful effects.
1.2 Personal experiences or anecdotes (stories) are an unreliable basis for assessing the effects of most treatments	People often believe that improvements in a health problem (e.g. recovery from a disease) was due to having received a treatment. Similarly, they might believe that an undesirable health outcome was due to having received a treatment. However, the fact that an individual got better after receiving a treatment does not mean that the treatment caused the improvement, or that others receiving the same treatment will also improve. The improvement (or undesirable health outcome) might have occurred even without treatment.	Claims about the effects of a treatment may be misleading if they are based on stories about how a treatment helped individual people, or if those stories attribute improvements to treatments that have not been assessed in systematic reviews of fair comparisons.
1.3 An 'outcome' may be associated with a treatment, but not caused by the treatment	The fact that a treatment outcome (i.e. a potential benefit or harm) is associated with a treatment does not mean that the treatment <i>caused</i> the outcome. For example, people who seek and receive a treatment may be healthier and have better living conditions than those who do not seek and receive the treatment. Therefore, people receiving the treatment might <i>appear</i> to benefit from the treatment, but the difference in outcomes could be because of their being healthier and having better living conditions, rather than because of the treatment.	Unless other reasons for an association between an outcome and a treatment have been ruled out by a fair comparison, do not assume that the outcome was <i>caused</i> by the treatment.
1.4 Widely used treatments or treatments that have been used for a long time are not necessarily beneficial or safe	Treatments that have not been properly evaluated but are widely used or have been used for a long time are often assumed to work. Sometimes, however, they may be unsafe or of doubtful benefit.	Do not assume that treatments are beneficial or safe simply because they are widely used or have been used for a long time, unless this has been shown in systematic reviews of fair comparisons of treatments.
1.5 New, brand-named, or more expensive treatments may not be better than available alternatives	New treatments are often assumed to be better simply because they are new or because they are more expensive. However, they are only very slightly likely to be better than other available treatments. Some side effects of treatments, for example, take time to appear and it may not be possible to know whether they will appear without long term follow-up.	A treatment should not be assumed to be beneficial and safe simply because it is new, brand-named or expensive.
1.6 Opinions of experts or authorities do not alone provide a reliable basis for deciding on the benefits and harms of treatments	Doctors, researchers, patient organisations and other authorities often disagree about the effects of treatments. This may be because their opinions are not always based on systematic reviews of fair comparisons of treatments.	Do not rely on the opinions of experts or other authorities about the effects of treatments, unless they clearly base their opinions on the findings of systematic reviews of fair comparisons of treatments.

Concepts	Explanations	Implications
1.7 Conflicting interests may result in misleading claims about the effects of treatments	People with an interest in promoting a treatment (in addition to wanting to help people), such as making money, may promote treatments by exaggerating benefits and ignoring potential harmful effects. Conversely, people may be opposed to a treatment for a range of reasons, such as cultural practices.	Ask if people making claims that a treatment is effective have conflicting interests. If they have conflicting interests, be careful not to be misled by their claims about the effects of treatments.
1.8 Increasing the amount of a treatment does not necessarily increase the benefits of a treatment and may cause harm	Increasing the dose or amount of a treatment (e.g. how many vitamin pills you take) often increases harms without increasing beneficial effects.	If a treatment is believed to be beneficial, do not assume that more of it is better.
1.9 Earlier detection of disease is not necessarily better	People often assume that early detection of disease leads to better outcomes. However, screening people to detect disease is only helpful if two conditions are met. First, there must be an effective treatment. Second, people who are treated before the disease becomes apparent must do better than people who are treated after the disease becomes apparent. Screening tests can be inaccurate (e.g. misclassifying people who do not have disease as having disease). Screening can also cause harm by labelling people as being sick when they are not and because of side effects of the tests and treatments.	Do not assume that early detection of disease is worthwhile if it has not been assessed in systematic reviews of fair comparisons between people who were screened and people who were not screened.
1.10 Hope or fear can lead to unrealistic expectations about the effects of treatments	Hope can be a good thing, but sometimes people in need or desperation hope that treatments will work and assume they cannot do any harm. Similarly, fear can lead people to use treatments that may not work and can cause harm. As a result, they may waste time and money on treatments that have never been shown to be useful, or may actually cause harm.	Do not assume that a treatment is beneficial or safe, or that it is worth whatever it costs, simply because you hope that it might help.
1.11 Beliefs about how treatments work are not reliable predictors of the actual effects of treatments	Treatments that should work in theory often do not work in practice, or may turn out to be harmful. An explanation of how or why a treatment might work does not prove that it works or that it is safe.	Do not assume that claims about the effects of treatments based on an explanation of how they might work are correct if the treatments have not been assessed in systematic reviews of fair comparisons of treatments.
1.12 Large, dramatic effects of treatments are rare	Large effects (where everyone or nearly everyone treated experiences a benefit or a harm) are easy to detect without fair comparisons, but few treatments have effects that are so large that fair comparisons are not needed.	Claims of large effects are likely to be wrong. Expect treatments to have moderate, small or trivial effects, rather than dramatic effects. Do not rely on claims of small or moderate effects of a treatment, which are not based on systematic reviews of fair comparisons of treatments.

Comparisons: are they fair and reliable?

Well-informed treatment decisions requires systematic reviews of [fair comparisons of treatments](#); i.e. comparisons designed to minimise the risk of systematic and random errors. Non-systematic summaries can be misleading, and not all comparisons of treatments are fair comparisons.

What makes a comparison fair?

Concepts	Explanations	Implications
2.1 Evaluating the effects of treatments requires appropriate comparisons	If a treatment is not compared to something else, it is not possible to know what would happen without the treatment, so it is difficult to attribute outcomes to the treatment.	Always ask what the comparisons are when considering claims about the effects of treatments. Claims that are not based on appropriate comparisons are not reliable.
2.2 Apart from the treatments being compared, the comparison groups need to be similar (i.e. 'like needs to be compared with like')	If people in the treatment comparison groups differ in ways other than the treatments being compared, the apparent effects of the treatments might reflect those differences rather than actual treatment effects. Differences in the characteristics of the people in the comparison groups might result in estimates of treatment effects that appear either larger or smaller than they actually are. A method such as allocating people to different treatments by assigning them random numbers (the equivalent of flipping a coin) is the best way to ensure that the groups being compared are similar in terms of both measured and unmeasured characteristics.	Be cautious about relying on the results of non-randomized treatment comparisons (for example, if the people being compared chose which treatment they received). Be particularly cautious when you cannot be confident that the characteristics of the comparison groups were similar. If people were <i>not</i> randomly allocated to treatment comparison groups, ask if there were important differences between the groups that might have resulted in the estimates of treatment effects appearing either larger or smaller than they actually are.
2.3 People's outcomes should be counted in the group to which they were allocated	Randomized allocation helps to ensure that the comparison groups have similar characteristics. However, people sometimes do not receive or take the allocated treatments. The characteristics of such people often differ from those who do take the treatments as allocated. Therefore, excluding from the analysis people who did not receive the allocated treatment may mean that like is no longer being compared with like.	Be cautious about relying on the results of treatment comparisons if patients' outcomes are not counted in the group to which they were allocated. For example, in a comparison of surgery and drug treatments, people who die while waiting for surgery should be counted in the surgery group, even though they did not receive surgery.

Concepts	Explanations	Implications
2.4 People in the groups being compared need to be cared for similarly (apart from the treatments being compared)	Apart from the treatments being compared, people in the treatment comparison groups should otherwise receive similar care. If, for example, people in one group receive more attention and care than people in the comparison group, differences in outcomes could be due to differences in the amount of attention each group received rather than due to the treatments that are being compared. One way of preventing this is to keep providers unaware (“blind”) of which people have been allocated to which treatment.	Be cautious about relying on the results of treatment comparisons if people in the groups that are being compared were not cared for similarly (apart from the treatments being compared). The results of such comparisons could be misleading.
2.5 If possible, people should <i>not</i> know which of the treatments being compared they are receiving	People in a treatment group may experience improvements (for example, less pain) because they <i>believe</i> they are receiving a better treatment, even if the treatment is not actually better (this is called a placebo effect), or because they behave differently (due to knowing which treatment they received, compared to how they otherwise would have behaved). If individuals know that they are receiving (they are not “blinded” to) a treatment that they believe is better, some or all of the apparent effects of the treatment may be due either to a placebo effect or because the recipients behaved differently.	Be cautious about relying on the results of treatment comparisons if the participants knew which treatment they were receiving, this may have affected their expectations or behaviour. The results of such comparisons could be misleading.
2.6 Outcomes should be measured in the same way (fairly) in the treatment groups being compared	If an outcome is measured differently in two comparison groups, differences in that outcome may be due to <i>how</i> the outcome was measured rather than <i>because</i> of the treatment received by people in each group. For example, if outcome assessors believe that a particular treatment works and they know which patients have received that treatment, they may be more likely to observe better outcomes in those who have received the treatment. One way of preventing this is to keep outcome assessors unaware (“blind”) of which people have been allocated to which treatment. This is less important for “objective” outcomes, like death, than for “subjective” outcomes like pain.	Be cautious about relying on the results of treatment comparisons if outcomes were not measured in the same way in the different treatment comparison groups. The results of such comparisons could be misleading.
2.7 It is important to measure outcomes in <i>everyone</i> who was included in the treatment comparison groups	People in treatment comparisons who are not followed up to the end of the study may have worse outcomes than those who are followed up. For example, they may have dropped out because the treatment was not working or because of side effects. If those people are excluded, the findings of the study may be misleading.	Be cautious about relying on the results of treatment comparisons if many people were lost to follow-up, or if there was a big difference between the comparison groups in the percentages of people lost to follow-up. The results of such comparisons could be misleading.
2.8 The results of single comparisons of treatments can be misleading	A single comparison of treatments rarely provides conclusive evidence and results are often available from other comparisons of the same treatments. These other comparisons may have different results or may help to provide more reliable and precise estimates of the effects of treatments.	The results of single comparisons of treatments can be misleading. Consider all of the relevant fair comparisons.
2.9 Reviews of treatment comparisons that do not use systematic methods can be misleading	Reviews that do not use systematic methods may result in biased or imprecise estimates of the effects of treatments because the selection of studies for inclusion may be biased or the methods may result in some studies not being found. In addition, the appraisal of some studies may be biased, or the synthesis of the results of the selected studies may be inadequate or inappropriate.	Whenever possible, use systematic reviews of fair comparisons rather than non-systematic reviews of fair comparisons of treatments to inform decisions.

Are the findings reliable?

Concepts	Short titles for TTI	Explanations	Implications
2.10 Unpublished results of fair comparisons may result in biased estimates of treatment effects	All fair comparisons and outcomes should be reported	Many fair comparisons never get published, and outcomes are sometimes left out. Those that do get published are more likely to report favourable results. As a consequence, reliance on published reports sometimes results in the beneficial effects of treatments being overestimated and the adverse effects being underestimated. Biased under-reporting of research is a major problem that is far from being solved. It is scientific and ethical malpractice, and wastes research resources.	Be aware of the risk of biased underreporting of fair comparisons, and whether or not the authors of systematic reviews have addressed this risk
2.11 Results for a selected group of people within a systematic review of fair comparisons of treatments can be misleading	Subgroup analyses may be misleading	Comparisons of treatments often report results for a selected group of participants in an effort to assess whether the effect of a treatment is different for different types of people (e.g. men and women or different age groups). These analyses are often poorly planned and reported. Most differential effects suggested by these 'subgroup' results are likely to be due to the play of chance and are unlikely to reflect true differences.	Findings based on results for subgroups of people within a treatment comparison may be misleading.
2.12 Relative effects of treatments alone can be misleading	Relative measures of effects can be misleading	Relative effects (e.g. the ratio of the probability of an outcome in one treatment group compared with that in a comparison group) are insufficient for judging the importance of the difference (between the probabilities of the outcome). A relative effect may give the impression that a difference is larger than it actually is when the likelihood of the outcome is small to begin with. For example, if a treatment reduces the probability of getting an illness by 50% but also has harms, and your risk of getting the illness is 2 in 100, receiving the treatment is likely to be worthwhile. If, however, your risk of getting the illness is 2 in 10,000, then receiving the treatment is unlikely to be worthwhile even though the <i>relative</i> effect is the same.	Always consider the absolute effects of treatments – that is, the difference in outcomes between the treatment groups being compared. Do not make a treatment decision based on relative effects alone.
2.13 Average differences between treatments can be misleading	Average measures of effects can be misleading	For outcomes that are measured on a scale (e.g. weight or pain) the difference between the average in one treatment group and the average in a comparison group may not make it clear how many people experienced a big enough change (e.g. in weight or pain) for them to notice it, or that they would regard as important.	When outcomes are measured on a scale, it cannot be assumed that everyone has experienced the average effect of a treatment.

2.14 Small studies in which few outcome events occur are usually not informative and the results may be misleading	Fair comparisons with few people or outcome events can be misleading	When there are only few outcome events, differences in outcome frequencies between the treatment comparison groups may easily have occurred by chance and may mistakenly be attributed to differences between the treatments.	Be cautious about relying on the results of treatment comparisons with few outcome events. The results of such comparisons could be misleading.
2.15 The use of p-values to indicate the probability of something having occurred by chance may be misleading; confidence intervals are more informative	Confidence intervals should be reported	The observed difference in outcomes is the best estimate of how relative effective and safe treatments are (or would be, if the comparison were made in many more people). However, because of the play of chance, the true difference may be larger or smaller. The confidence interval is the range within which the true difference is likely to lie, after taking into account the play of chance. Although a confidence interval (margin of error) is more informative than a p-value, the latter is often reported. P-values are often misinterpreted to mean that treatments have or do not have important effects.	Understanding a confidence interval may be necessary to understand the reliability of an estimated treatment effect. Whenever possible, consider confidence intervals when assessing estimates of treatment effects. Do not be misled by p-values.
2.16 Saying that a difference is statistically significant or that it is not statistically significant can be misleading	Don't confuse "statistical significance" with "importance"	"Statistical significance" is often confused with "importance". The cut-off for considering a result as statistically significant is arbitrary, and statistically non-significant results can be either informative (showing that it is very unlikely that a treatment has an important effect) or inconclusive (showing that the relative effects of the treatments compared are uncertain).	Claims that results were significant or non-significant usually mean that they were not statistically significant or non-significant. This is not the same as important or not important. Do not be misled by such claims.
2.17 A lack of evidence is not the same as evidence of "no difference"	Don't confuse "no evidence" with "no effect"	Systematic reviews sometimes conclude that there is "no evidence" of effect when there is uncertainty about the difference between two treatments. This is often misinterpreted as meaning that there is no difference between the treatments compared. However, studies can never show that there is "no effect" or "no difference". They can only rule out important effects or differences.	Don't be misled by statements of "no effect" or "no difference" between treatments. Consider instead the degree to which it is possible to confidently rule out an important difference.

Choices: make informed choices

Well-informed treatment decisions require judgements about relevance, importance and the certainty of the evidence. The results of fair comparisons may not be relevant to you.

Concepts	Explanations	Implications
3.1 A systematic review of fair comparisons of treatments should measure outcomes that are important	A fair comparison may not include all outcomes that are relevant to treatments. Patients, professionals and researchers may have different views about which outcomes are important. For example, studies often measure outcomes, such as heart rhythm irregularities, as surrogates for important outcomes, like death after heart attack. However, the effects of treatments on surrogate outcomes often do not provide a reliable indication of the effects on outcomes that are important.	Always consider the possibility that outcomes that are important to you may not have been addressed in fair comparisons. Do not be misled by surrogate outcomes.
3.2 A systematic review of fair comparisons of treatments in animals or highly selected groups of people may not be relevant	Systematic reviews of studies that only include animals or a selected minority of people are unlikely to provide results that are relevant to most people.	Results of systematic reviews of studies in animals or highly-selected groups of people may be misleading.
3.3 The treatments evaluated in fair comparisons may not be relevant or applicable	A fair comparison of the effects of a surgical procedure done in a specialised hospital may not provide a reliable estimate of the effects and safety of the same procedure performed in other settings. Similarly, comparing a new drug to a drug or dose that is not commonly used (and which may be less effective or safe than those in common use) would not provide a good estimate of how the new drug compares to what is commonly done.	Be aware that your circumstances may be sufficiently different from those in the research studies, and that the results of may not apply to you.
3.4 Well done systematic reviews often reveal a lack of relevant evidence, but they provide the best basis for making judgements about the certainty of the evidence	The certainty of the evidence (the extent to which the research provides a good indication of the likely effects of treatments) can affect the treatment decisions people make. For example, someone might decide not to use or to pay for a treatment if the certainty of the evidence is low or very low. How certain the evidence is depends on the fairness of the comparisons, the risk of being misled by the play of chance, and how directly relevant the evidence is. Systematic reviews provide the best basis for these judgements and should report an assessment of the certainty of the evidence based on these judgements.	When using the findings of systematic reviews to inform your decisions, always consider the degree of certainty of the evidence.
3.5 Decisions about treatments should not be based on considering only their benefits	Decisions about whether or not to use a treatment should be informed by the balance between the potential benefits and the potential harms, costs and other advantages and disadvantages of the treatment. This balance often depends on the baseline risk (i.e. the likelihood of an individual experiencing an undesirable event), or on the severity of the symptoms). The balance between the advantages and disadvantages of a treatment is more likely to favour taking a treatment for people with a higher baseline risk or more severe symptoms.	Always consider the balance between advantages and disadvantages of treatments, taking into consideration the baseline risk or the severity of the symptoms.

Glossary

Absolute effects	Absolute effects are differences between outcomes in the groups being compared. For example, if 10% (10 per 100) experience an outcome in one of the treatment comparison groups and 5% (5 per 100) experience that outcome in the other group, the absolute effect is $10\% - 5\% =$ a 5% difference.
Allocation	Allocation is the assignment of participants in comparisons of treatments to the different treatments (groups) being compared.
Association	Association is a relationship between two attributes, such as using a treatment and experiencing an outcome.
Average difference	The average difference is used to express treatment differences for continuous outcomes, such as weight, blood pressure or pain measured on a scale. It is the difference between the average value for an outcome measure (for example kilograms) in one group and that in a comparison group.
Certainty of the evidence	The certainty of the evidence is an assessment of how good an indication a systematic review provides of the likely effect of a treatment; i.e. the likelihood that the effect will be substantially different from what the studies found (different enough that it might affect a decision). Judgements about the certainty of the evidence are based on factors that reduce the certainty (risk of bias, inconsistency, indirectness, imprecision and publication bias) and factors that increase the certainty.
Chance	In the context of comparisons of treatments, chance is the occurrence of differences between comparison groups that are not due to treatment effects or bias. The play of chance (random error) can lead to incorrect conclusions about treatment effects if too few outcomes occur in studies.
Confidence interval	A confidence interval is a statistical measure of a range within which there is a high probability (usually 95%) that the actual value lies. Wide intervals indicate lower confidence; narrow intervals greater confidence.
Fair comparison	Fair comparisons of treatments are comparisons designed to minimize the risk of systematic errors (biases) and random errors (resulting from the play of chance).
Outcome	An outcome is a potential benefit or harm of a treatment measured in a treatment comparison. An outcome measure is how the outcome is measured in a study.
P-value	A p-value is the probability (ranging from zero to one) that the results observed in a study (or results more extreme) could have occurred by chance if in reality there were no treatment differences.
Placebo	A placebo is a treatment that does not contain active ingredients, which has been designed to be indistinguishable from the active treatment being assessed.
Placebo effect	A measurable, observable, or felt improvement in health or behaviour not attributable to the treatment administered.
Probability	Probability is the chance or risk of something, such as an outcome, occurring. See Risk
Relative effects	Relative effects are ratios. For example, if the probability of an outcome in the treatment group is 10% (10 per 100) and the probability of that outcome in a comparison group is 5% (5 per 100), the relative effect is $5/10 = 0.50$.
Reliable	The reliability of a claim or evidence about a treatment effect is the extent to which it is dependable or can be trusted. It should be noted that reliability often has a different meaning in the context of research, which is the degree to which results obtained by a measurement procedure can be replicated.
Risk	Risk is the probability of an outcome occurring. See Probability
Scale	A scale is an instrument for measuring or rating an outcome with a potentially infinite number of possible values within a given range, such as weight, blood pressure, pain or depression.
Statistical significance	Statistical significance is a difference that is unlikely (below a specified level of confidence – typically 5%) to be explained by the play of chance.
Study	A study is an investigation that uses specified methods to evaluate something. Different types of studies can be used to evaluate the effects of treatments. Some are more reliable than others.
Subgroup	A subgroup is a subdivision of a group of people; a distinct group within a group. For example, in studies or systematic reviews of treatment effects, questions are often asked about whether there are different effects for different subgroups of people in the studies, such as women and men, or people of different ages.
Surrogate outcomes	Surrogate outcomes are outcome measures that are not of direct practical importance but are believed to reflect outcomes that are important. For example, blood pressure is not directly important to patients but it is often used as an outcome in studies because it is a risk factor for stroke and heart attacks.

Systematic review	A systematic review is a summary of research evidence (studies) that uses systematic and explicit methods to summarise the research. It addresses a clearly formulated question using a structured approach to identify, select, and critically appraise relevant studies, and to collect and analyse data from the studies that are included in the review.
Theory	A theory is a supposition or a system of ideas intended to explain something.
Treatment	A treatment is any intervention (action) intended to improve health, including preventive, therapeutic and rehabilitative interventions and public health or health system interventions.
Treatment comparison	Treatment comparisons are studies of the effects of treatments.